### SEC Form 4

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# FORM 4

# UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

| Check this box if no longer subject to |
|--|
| Section 16. Form 4 or Form 5           |
| obligations may continue. See          |
| Instruction 1(b).                      |

### STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

# OMB APPROVAL OMB Number: 3235-0287 Estimated average burden

| 0                      |                          |   |     |
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| hours per response: 0. | Estimated average burden |   |     |
| · ·                    | hours per response:      | ( | ).5 |

|                              | ss of Reporting Perso<br>E LINDA M D |          | 2. Issuer Name <b>and</b> Ticker or Trading Symbol <u>VIVUS INC</u> [ VVUS ] | 5. Relationship of Reporting Person(s) to Issuer<br>(Check all applicable) |  |                                       |  |  |  |
|------------------------------|--------------------------------------|----------|--|--|--|---------------------------------------|--|--|--|
| (Last)<br>1172 CASTRO        | (First)                              | (Middle) | 3. Date of Earliest Transaction (Month/Day/Year)<br>06/16/2004               | X  | Director<br>Officer (give title<br>below)  | 10% Owner<br>Other (specify<br>below) |  |  |  |
| (Street)<br>MOUNTAIN<br>VIEW | CA                                   | 94040    | 4. If Amendment, Date of Original Filed (Month/Day/Year)                     | 6. Indiv<br>Line)<br>X   | idual or Joint/Group Fili<br>Form filed by One Re<br>Form filed by More th<br>Person | porting Person                        |  |  |  |
| (City)                       | (State)                              | (Zip)    |  |  |  |                                       |  |  |  |

### Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

| 1. Title of Security (Instr. 3) | 2. Transaction<br>Date<br>(Month/Day/Year) | 2A. Deemed<br>Execution Date,<br>if any<br>(Month/Day/Year) | 3.<br>Transaction<br>Code (Instr.<br>8) |   | 4. Securities A<br>Disposed Of (<br>5) |               |       | 5. Amount of<br>Securities<br>Beneficially<br>Owned Following<br>Reported | Form: Direct<br>(D) or Indirect | 7. Nature<br>of Indirect<br>Beneficial<br>Ownership<br>(Instr. 4) |
|---------------------------------|--|---|---|---|--|---------------|-------|---|---------------------------------|---|
|                                 |  |   | Code                                    | v | Amount                                 | (A) or<br>(D) | Price | Transaction(s)<br>(Instr. 3 and 4)  |                                 | (1130. 4)   |

# Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of<br>Derivative<br>Security<br>(Instr. 3)       | 2.<br>Conversion<br>or Exercise<br>Price of<br>Derivative<br>Security | 3. Transaction<br>Date<br>(Month/Day/Year) | 3A. Deemed<br>Execution Date,<br>if any<br>(Month/Day/Year) | 4.<br>Transa<br>Code (<br>8) |   | 5. Num<br>of<br>Deriva<br>Securi<br>(A) or<br>Dispos<br>of (D)<br>(Instr.<br>and 5) | tive<br>ties<br>red<br>sed<br>3, 4 | Expiration Date<br>(Month/Day/Year) |                    | te Amount of    |  | 8. Price of<br>Derivative<br>Security<br>(Instr. 5) | 9. Number of<br>derivative<br>Securities<br>Beneficially<br>Owned<br>Following<br>Reported<br>Transaction(s)<br>(Instr. 4) | 10.<br>Ownership<br>Form:<br>Direct (D)<br>or Indirect<br>(I) (Instr. 4) | 11. Nature<br>of Indirect<br>Beneficial<br>Ownership<br>(Instr. 4) |
|---|---|--|---|------------------------------|---|---|------------------------------------|-------------------------------------|--------------------|-----------------|--|---|--|--|--|
|   |   |  |   | Code                         | v | (A)   | (D)                                | Date<br>Exercisable                 | Expiration<br>Date | Title           | Amount<br>or<br>Number<br>of<br>Shares |   |  |  |  |
| Non-<br>Qualified<br>Stock<br>Option<br>(right to<br>buy) | \$4   | 06/14/2004                                 |   | А                            |   | 8,000   |                                    | (2)                                 | 06/14/2014         | Common<br>Stock | 8,000                                  | (1)   | 8,000  | D  |  |

Explanation of Responses:

1. There is no purchase price necessary. This is an option grant.

2. There is no exercisable date. This is an option grant.

#### By: /s/ Linda Derboghosian

For: Linda Shortliffe

06/16/2004

\*\* Signature of Reporting Person Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

\* If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

\*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.