SEC Form 3

FORM 3

UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF SECURITIES

OMB APPROVAL

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Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

1. Name and Address of Reporting Person* Bass Rolf E.			2. Date of Event Requiring Statement (Month/Day/Year) 05/23/2013	3. Issuer Name and Ticker or Trading Symbol <u>VIVUS INC</u> [VVUS]			
(Last) BOELCKES	(First)	(Middle)		4. Relationship of Reporting Perso (Check all applicable) Director X	on(s) to Issuer 10% Owner	5. If Amendment, Date of Original Filed (Month/Day/Year)	
DOLLCRESTRASSE 00				Officer (give title	Other (specify	6. Individual or Joint/Group Filing (Check	
(Street)				below)	below)	Applicable Line)	
BERLIN	2M	12101		SEE REMAR	KS	X Form filed by One Reporting Person	
DERLIN	21111	12101				Form filed by More than One Reporting Person	
(City)	(State)	(Zip)					
Table I - Non-Derivative Securities Beneficially Owned							
1. Title of Security (Instr. 4)			2. Amount of Securities Beneficially Owned (Instr. 4)	3. Ownership Form: Direct (D) or Indirect (I) (Instr. 5)	4. Nature of Indirect Beneficial Ownership (Instr. 5)		

			or Indirect ((Instr. 5))				
Common Stock, \$0.001 par value ("Common Stock	ck")	4,393,316	I	See f	ootnotes ⁽¹⁾⁽²⁾⁽	3)(9)		
Common Stock		5,000	D ⁽⁴⁾⁽⁹⁾					
Common Stock		2,000	D ⁽⁵⁾⁽⁹⁾					
Common Stock		1,500	D ⁽⁶⁾⁽⁹⁾					
Common Stock		100	D ⁽⁷⁾⁽⁹⁾					
Common Stock		900	D ⁽⁸⁾⁽⁹⁾					
Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)								
1. Title of Derivative Security (Instr. 4)	2. Date Exercisable and Expiration Date (Month/Day/Year)	3. Title and Amount of Securi Underlying Derivative Securi		4. Conversion or Exercise	5. Ownership Form:	6. Nature of Indirect Beneficial Ownership (Instr. 5)		

Date ExercisableExpiration DateAmount or Number ofDerivative or SecurityDirect (D) or Number of		Expiration Date (Month/Day/Year)		Underlying Derivative Security (Instr. 4)		Conversion or Exercise Price of	Form:	Beneficial Ownership (Instr. 5)
					or	Derivative		
					-			

Explanation of Responses:

1. The shares of Common Stock reported on this line may be deemed to be indirectly beneficially owned by First Manhattan Co., a New York limited partnership ("FMC"), certain of its partners and/or First BioMed Management Associates, LLC ("FBMA"), a Delaware limited liability company, as described herein and in footnote 2 below. The sole general partner of FMC is First Manhattan LLC ("FMLLC"), a New York limited liability company. The sole managing members of FBMA are FMC and Samuel F. Colin ("Dr. Colin").

2. The securities reported on this line include (i) a number of shares of Common Stock which represents a proportion of the aggregate number of shares of Common Stock directly held by First Health, L.P., First Health Limited and First Health Associates, L.P. (collectively, the "First Health Funds"), pooled investment vehicles to which FMC acts as the investment advisor, which proportion is attributable to the limited partnership interests of certain partners of FMC that are also limited partners of the First Health Funds; (ii) shares of Common Stock directly held by First BioMed, L.P., and First BioMed Portfolio, L.P., pooled investment vehicles to which FMBA acts as the investment advisor; and (iii) shares of Common Stock held in the accounts of partners, and the immediate family of partners, including shares of Common Stock held in Dr. Colin's personal account and shares of Common Stock held by trusts established for the benefit of Dr. Colin's family members.

3. (continued from footnote (2)) The shares of Common Stock reported on this line include only those shares in which FMC, certain of its partners and/or FMBA, as applicable, may be deemed to have a pecuniary interest (as such term is defined under Rule 16a-1(a)(2) of the Securities Exchange Act of 1934, as amended (the "1934 Act")) and accordingly does include additional shares which FMC and/or FMBA, as applicable, may be deemed to beneficially own for purposes of Section 13(d) of the 1934 Act as reported in the Schedule 13D filed by the reporting persons (the "Schedule 13D").

4. The shares of Common Stock reported on this line are held directly by Herman Rosenman.

5. The shares of Common Stock reported on this line are held directly by Jon C. Biro.

6. The shares of Common Stock reported on this line are held directly by David York Norton.

7. The shares of Common Stock reported on this line are held directly by Rolf Bass.

8. The shares of Common Stock reported on this line are held directly by Melvin L. Keating.

9. For purposes of Rule 16a-1(a)(2) of the 1934 Act, each of the reporting persons disclaims beneficial ownership of the shares reported herein to the extent such beneficial ownership exceeds its pecuniary interest therein.

Remarks:

As described in Item 4 of Amendment No. 5 to the Schedule 13D, the reporting persons and Alexander J. Denner, Ph.D. ("Dr. Denner") and his affiliated funds and their investment manager (collectively with Dr. Denner, the "Denner Parties") may be deemed to be a "group" pursuant to Rule 13d-5(b)(1) promulgated under the 1934 Act and as such may be deemed, collectively, to beneficially own more than 10% of be Defined, the Defined rates of high of defined to be a group pursuant take for the reporting persons each discarding shares of common Stock for purposes of Section 13(d) of the 1934 Act. The reporting persons each discarding shares of common Stock for purposes of Section 13(d) of the 1934 Act. The reporting persons each discarding shares of common Stock for beneficially owned by the Denner Parties. This Form 3 was first filed electronically on June 3, 2013 under the EDGAR access codes of each of the reporting persons other than Rolf Bass ("Mr. Bass"), as Mr. Bass did not have EDGAR access codes at such time. This Form 3 is now being re-filed under the EDGAR access codes of Mr. Bass.

> /s/ Neal K. Stearns, Managing Member of First Manhattan LLC, General Partner of First Manhattan Co.

06/03/2013

/s/ Neal K. Stearns, Managing 06/03/2013 Member of First Manhattan LLC, General Partner of First Manhattan Co., Co-Managing

<u>Member of First BioMed</u> <u>Management Associates, LLC</u>	
<u>Co-Managing Member of First</u> <u>BioMed Management</u> <u>Associates, LLC</u>	<u>06/03/2013</u>
<u>/s/ Herman Rosenman</u>	06/03/2013
/s/ Jon C. Biro	06/03/2013
/s/ David York Norton	06/03/2013
<u>/s/ Rolf Bass</u>	06/03/2013
<u>/s/ Melvin L. Keating</u>	<u>06/03/2013</u>
** Signature of Reporting Person	Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

* If the form is filed by more than one reporting person, see Instruction 5 (b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

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