FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

| OMB APPROVAL | | | | | | | | | |
|--------------------------|-----------|--|--|--|--|--|--|--|--|
| OMB Number: | 3235-0287 | | | | | | | | |
| Estimated average burden | | | | | | | | | |
| hours per response: | 0.5 | | | | | | | | |

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

| Name and Address of Reporting Person* Amos John P. | | | | 2. Issuer Name and Ticker or Trading Symbol VIVUS INC [VVUS] | | | | | | | 5. Relationship of Reporting Person(s) to Issuer (Check all applicable) | | | | | |
|--|---|---------------------|---|---|--|--|--------|--|-------------------|---|---|--|---|--|---|--|
| | | | | | | | | | | | | V Directo | r | 10% (| Owner | |
| (Last) | (F | irst) | (Middle) | 3 | 3. Date of Earliest Transaction (Month/Day/Year) | | | | | | Officer below) | (give title | Other below | (specify | | |
| C/O VIVUS, INC. | | | | | 01/23/2019 | | | | | | | Chief Executive Officer | | | | |
| 900 E. HAMILTON AVE., SUITE 550 | | | | | | | | | | | | | | | | |
| | | | | 4 | 4. If Amendment, Date of Original Filed (Month/Day/Year) | | | | | | | 6. Individual or Joint/Group Filing (Check Applicable Line) | | | | |
| (Street) CAMPB | ELL C. | A | 95008 | | | | | | | | | | , | led by One R | Reporting Pers | on |
| | | | | | | | | | | | | | Form fi Person | | than One Rep | orting |
| (City) | (S | tate) | (Zip) | | | | | | | | | | . 0.00 | | | |
| Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned | | | | | | | | | | | | | | | | |
| 1. Title of Security (Instr. 3) 2. Transac Date (Month/Da | | | ate | Execution Date, | | Code (Instr. | | | | 5. Amour Securities Beneficia Owned Fo | s F Ily (I | . Ownership orm: Direct D) or Indirect) (Instr. 4) | 7. Nature of Indirect Beneficial Ownership (Instr. 4) | | | |
| | | | | | | | Code V | Amou | Amount (A) or (D) | | Price | Transacti (Instr. 3 a | | | (11150: 4) | |
| | Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned | | | | | | | | | | | | | | | |
| | | | (e. | g., puts | s, cal | ls, warr | ants | , options | conve | rtib | le secu | ırities) | | | | |
| 1. Title of Derivative Security (Instr. 3) | 2. Conversion or Exercise Price of Derivative Security | se (Month/Day/Year) | 3A. Deemed Execution Date, if any (Month/Day/Year) | 4. Transaction Code (Instr. | | 5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5) | | 6. Date Exercisable and Expiration Date (Month/Day/Year) | | | 7. Title and of Securiti Underlying Derivative (Instr. 3 an | es g Security | 8. Price of Derivative Security (Instr. 5) | 9. Number of derivative Securities Beneficially Owned Following Reported Transaction | Ownersh Form: Direct (D or Indire (I) (Instr. | Beneficial Ownership ct (Instr. 4) |
| | | | | Code | v | (A) | (D) | Date Exercisable | Expiration Date | | Title | Amount or Number of Shares | | (Instr. 4) | | |
| Employee Stock Option (right to buy) | \$4.05 | 01/23/2019 | | A | | 137,500 | | (1) | (2) | | Common Stock | 137,500 | \$0 | 137,500 | D | |

Explanation of Responses:

- 1. One-fourth (1/4th) of the total number of shares underlying the option vest on January 23, 2020, and one forty-eighth (1/48th) of the total number of shares underlying the option vest on the last day of each month thereafter, subject to the reporting person continuing to be a Service Provider (as defined in the Issuer's 2018 Equity Incentive Plan) on the relevant vesting dates.
- 2. The option expires on the earlier of (a) January 23, 2026 and (b) the twelve (12) month anniversary of the date the reporting person ceases to be a Service Provider (as defined in the Issuer's 2018 Equity Incentive Plan).

Remarks:

/s/ Julie Hollenback, Attorney-

01/25/2019

<u>in-Fact</u>

** Signature of Reporting Person

Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

- * If the form is filed by more than one reporting person, see Instruction 4 (b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.