SEC Form 4	
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## FORM 4

## UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b)

### STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

#### OMB APPROVAL OMB Number: 3235-0287 Estimated average burden

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1. Name and Address of Reporting Person*		n*	2. Issuer Name <b>and</b> Ticker or Trading Symbol VIVUS INC [VVUS]	5. Relationship of Reporting Person(s) to Issuer (Check all applicable)				
<u>Sris Mayu</u>				Х	Director	10% Owner		
·					Officer (give title	Other (specify		
(Last) C/O VIVUS, IN	(First) C.	(Middle)	3. Date of Earliest Transaction (Month/Day/Year) 11/15/2016		below)	below)		
351 EAST EVELYN AVENUE								
			4. If Amendment, Date of Original Filed (Month/Day/Year)	6. Individual or Joint/Group Filing (Check Applicable Line)				
(Street) MOUNTAIN				Х	Form filed by One Rep	orting Person		
VIEW	CA	94041			Form filed by More that Person	n One Reporting		
(City)	(State)	(Zip)						

### Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transa Code ( 8)				5. Amount of Securities Beneficially Owned Following Reported	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)	
			Code	v	Amount	(A) or (D)	Price	Transaction(s) (Instr. 3 and 4)		(11150.4)
Common Stock	11/15/2016		М		1,389	Α	(1)	14,931	D	
Common Stock	11/15/2016		<b>D</b> <sup>(2)</sup>		347	D	\$1.1	14,584	D	

# Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned

(e.g., puts, calls, warrants, options, convertible securities) 1. Title of 3. Transaction 3A. Deemed 5. Number 6. Date Exercisable and 7. Title and 8. Price of 9. Number of 10. 11. Nature Expiration Date (Month/Day/Year) of Indirect Derivative Conversion Execution Date, Transaction Amount of Derivative derivative Ownership Date (Month/Day/Year) Derivative Security or Exercise if any Code (Instr. Securities Security Securities Form: Beneficial Price of Derivative Securities Acquired Underlying Derivative Security Beneficially Owned Direct (D) or Indirect Ownership (Instr. 4) (Instr. 3) (Month/Day/Year) 8) (Instr. 5) (A) or Disposed (I) (Instr. 4) Security (Instr. 3 and 4) Following Reported of (D) Transaction(s) (Instr. 3, 4 and 5) (Instr. 4) Amount or Number Expiration Date of Code v (A) (D) Exercisable Date Title Shares Restricted Commor (1) 11/15/2016 (3) (3) 1,389 30,557 D Μ 1,389 \$<mark>0</mark> Stock Stock Units

Explanation of Responses:

1. Restricted stock units converted into VIVUS. Inc. common stock on a 1-for-1 basis.

2. The reporting person did not sell or otherwise dispose of any of the shares reported on this Form 4 for any reason other than by way of forfeiture to VIVUS, Inc. in order to cover estimated tax liability. 3. The restricted stock units vest according to the following schedule: 8/36ths of the total restricted stock units originally granted vested on May 15, 2016, and an additional 1/36th of the total restricted stock units originally granted vest on the 15th of each month thereafter, subject to the individual continuing to be a Service Provider (as defined in the 2010 Equity Incentive Plan) on the relevant vesting dates. Remarks:

#### /s/ Julie Hollenback, Attorney-11/16/2016

in-Fact Date

\*\* Signature of Reporting Person

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

\* If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

\*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.