Instruction 1(b).

FORM 4

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See

## UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

| <b>STATEMENT</b> | <b>OF CHANGES</b> | IN BENEFICIAL | <b>OWNERSHIP</b> |
|------------------|-------------------|---------------|------------------|

**OMB APPROVAL** OMB Number: Estimated average burden hours per response: 0.5

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

| 1. Name and Address of Reporting Person* ROSENMAN HERM |   |  |  |  |       | 2. Issuer Name and Ticker or Trading Symbol VIVUS INC [ VVUS ] |      |              |              |   |  |                 |   |   |            | k all applic  | •   |                             |  |   |  |
|--|---|--|--|--|-------|--|------|--------------|--------------|---|--|-----------------|---|---|------------|---|---|-----------------------------|--|---|--|
|  | US, INC.  | ŕ  | (Middle)   |  |       | 3. Date of Earliest Transaction (Month/Day/Year) 06/29/2018    |      |              |              |   |  |                 |   |   |            | Officer<br>below)                                     | (give title   |                             | Other (s<br>below)   | pecify                                  |  |
| 900 E. HAMILTON AVE., SUITE 550                        |   |  |  |  | 4. 1  | 4. If Amendment, Date of Original Filed (Month/Day/Year)       |      |              |              |   |  |                 |   |   |            | 6. Individual or Joint/Group Filing (Check Applicable |   |                             |  |   |  |
| (Street)   | ELL C   | A  | 95008  |  |       |  |      |              |              |   |  |                 |   |   | Line)<br>X |   | led by Mor  |                             | orting Persor<br>one Repor   |   |  |
| (City)   | (S  | tate)                                      | (Zip)  |  |       |  |      |              |              |   |  |                 |   |   |            |   |   |                             |  |   |  |
|  |   | Tak  | le I - Nor                                       | ı-Deri                                     | vativ | e Se   | curi | ties Ac      | quir         | ed, D   | isp  | osed o          | f, or Be  | nefic                                   | ially      | Owned   |   |                             |  |   |  |
|  |   |  | Date   | 2. Transaction<br>Date<br>(Month/Day/Year) |       | 2A. Deemed<br>Execution Date,<br>if any<br>(Month/Day/Year     |      | Code (Instr. |              |   | 4. Securities Acquired (A)<br>Disposed Of (D) (Instr. 3, 4<br>5) |                 |   | 4 and Securitie<br>Beneficia<br>Owned F |            | s For<br>ally (D)<br>following (I) (                  |   | rm: Direct<br>) or Indirect | 7. Nature<br>of Indirect<br>Beneficial<br>Ownership                      |   |  |
|  |   |  |  |  |       |  |      |              | С            | ode V   | ,  | Amount          | (A) o   | r Pri                                   | се         | Reported<br>Transaction(s)<br>(Instr. 3 and 4)        |   |                             |  | (Instr. 4)                              |  |
| Common Stock (   |   |  | 06/2   | 29/201                                     | /2018 |  |      |              | M            |   | 25,00  | 000 A           |   | 0.7                                     | 311,671    |   |   | D                           |  |   |  |
| Common   | Stock   |  |  |  |       |  |      |              |              |   |  |                 |   |   |            | 50,000  |   |                             | I 1  | By wife                                 |  |
|  |   |  | Table II -                                       |  |       |  |      |              |              |   |  |                 | or Ben<br>ble secu  |   |            | Owned   |   |                             |  |   |  |
| 1. Title of<br>Derivative<br>Security<br>(Instr. 3)    | 2.<br>Conversion<br>or Exercise<br>Price of<br>Derivative<br>Security | 3. Transaction<br>Date<br>(Month/Day/Year) | 3A. Deeme<br>Execution I<br>if any<br>(Month/Day | Date, Transact<br>Code (In                 |       |  |      |              | Expir        | s. Date Exercisable<br>Expiration Date<br>Month/Day/Year) |  |                 | d 7. Title and<br>of Securitie<br>Underlying<br>Derivative S<br>(Instr. 3 and |   | 1          | 8. Price of<br>Derivative<br>Security<br>(Instr. 5)   | 9. Number of derivative Securities Beneficially Owned Following Reported Transaction (Instr. 4) | e<br>S<br>Illy              | 10.<br>Ownership<br>Form:<br>Direct (D)<br>or Indirect<br>(I) (Instr. 4) | Beneficial<br>Ownership<br>t (Instr. 4) |  |
|  |   |  |  |  | Code  | v  | (A)  | (D)          | Date<br>Exer | e<br>cisable  |  | piration<br>ate | Title   | Amou<br>or<br>Numb<br>of<br>Share       | oer        |   |   |                             |  |   |  |
| Non-<br>Qualified<br>Stock<br>Option<br>(right to      | \$0.7   | 06/29/2018                                 |  |  | M     |  |      | 25,000       |              | (1)   | 10   | )/27/2024       | Common<br>Stock   | 25,0                                    | 00         | \$0   | 50,000  | 0                           | D  |   |  |

## **Explanation of Responses:**

1. One-twelfth (1/12th) of the total number of shares subject to the option shall vest and become exercisable on the 27th of each month following October 27, 2017, subject to such individual continuing to be a Service Provider (as defined in the 2010 Equity Incentive Plan) on the relevant vesting dates.

## Remarks:

/s/ Julie Hollenback, Attorneyin-Fact

07/03/2018

\*\* Signature of Reporting Person

Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

- \* If the form is filed by more than one reporting person, see Instruction 4 (b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

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