SEC Form 3

FORM 3

UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF SECURITIES

OMB APPROVAL

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Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

| 1. Name and Add Colin Samu | | F (I | . Date of Event equiring Staten Month/Day/Year 7/19/2013 | nent 🔤 | | r Name and Ticker or Trad J <u>S INC</u> [VVUS] | ing Symbo | I | | | |
|---|-------------------|----------------|---|--------------------|-------|--|--|-----------------------|-------------------------|---|---|
| (Last) C/O VIVUS, I 351 EAST EV (Street) | | (Middle) UE | | | | ionship of Reporting Perso all applicable) Director Officer (give title below) | n(s) to Issu 10% Own Other (sp below) | ner | (Mon 6. Inc Appli | ith/Day/Year) dividual or Joint cable Line) | ate of Original Filed /Group Filing (Check y One Reporting Person |
| MOUNTAIN VIEW | CA | 94041 | | | | | | | | Form filed b Reporting P | y More than One erson |
| (City) | (State) | (Zip) | | | | | | | | | |
| | | Т | able I - Non | -Derivati | ve Se | curities Beneficially | y Owned | ł | | | |
| 1. Title of Securit | ty (Instr. 4) | | | | | ally Owned (Instr. 4) | 3. Owners Form: Dir or Indirec (Instr. 5) | ect (D) | 4. Nat (Instr. | | Beneficial Ownership |
| Common Stock | 2 | | | | | 500,000 | D | | | | |
| Common Stock | 2 | | | | | 42,000 | I | | By fa | mily trust | |
| Common Stock | 1 | | | | | 8,697,509 | Ι | | By Fi | irst Manhatta | n Co. ⁽¹⁾ |
| | | (e.ç | | | | rities Beneficially (ptions, convertible | | es) | | | |
| 1. Title of Derivat | tive Security (In | str. 4) | 2. Date Exerc Expiration Da (Month/Day/) | ate | | le and Amount of Securit rlying Derivative Securit | | 4. Conve or Exe | ercise | 5. Ownership Form: Direct (D) | 6. Nature of Indirect Beneficial Ownership (Instr. 5) |
| | | | Date Exercisable | Expiration Date | Title | | Amount or Number of Shares | Deriva Secur | ative | or Indirect (I) (Instr. 5) | |

Explanation of Responses:

1. Dr. Colin is deemed to beneficially own 8,697,509 shares of VIVUS, Inc. by virtue of his status as a managing member of the sole general partner of First Manhattan Co. Dr. Colin expressly disclaims beneficial ownership of such 8,697,509 shares.

<u>/s/ Julie Hollenback, by power</u> 07/29/2013 of attorney

** Signature of Reporting Person Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

* If the form is filed by more than one reporting person, see Instruction 5 (b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.

POWER OF ATTORNEY

The undersigned, as a Section 16 reporting person of VIVUS, Inc. (the "Company"), hereby constitutes and appoints Julie Hollenback, Timothy E. Morris, Lee B. Perry and John L. Slebir, the undersigned's true and lawful attorneys-in-fact to:

- 1. complete and execute Forms 4 and 5 and other forms and all amendments thereto as such attorneys-in-fact shall in their discretion determine to be required or advisable pursuant to Section 16 of the Securities Exchange Act of 1934 (as amended) and the rules and regulations promulgated thereunder, or any successor laws and regulations, as a consequence of the undersigned's ownership, acquisition or disposition of securities of the Company; and
- 2. do all acts necessary in order to file such forms with the Securities and Exchange Commission, any securities exchange or national association, the Company and such other person or agency as the attorneys-in-fact shall deem appropriate.

The undersigned hereby ratifies and confirms all that said attorneys-in-fact and agent shall do or cause to be done by virtue hereof. The undersigned acknowledges that the foregoing attorneys-in-fact, in serving in such capacity at the request of the undersigned, is not assuming, nor is the Company assuming, any of the undersigned's responsibilities to comply with Section 16 of the Securities Exchange Act of 1934 (as amended).

This Power of Attorney shall remain in full force and effect until the undersigned is no longer required to file Forms 4 and 5 with respect to the undersigned's holdings of and transactions in securities issued by the Company, unless earlier revoked by the undersigned in a signed writing delivered to the Company and the foregoing attorneys-in-fact.

IN WITNESS WHEREOF, the undersigned has caused this Power of Attorney to be executed as of this 24 day of July, 2013.

| 1 |
|---|

Print Name: Sam Colin