SEC Form 4

 \Box

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

Check this box if no longer subject to
Section 16. Form 4 or Form 5
obligations may continue. See
Instruction 1(b).

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

OMB APPROVAL

OMB Number:	3235-0287
Estimated average bu	rden
hours per response:	0.5

1. Name and Address of Reporting Person [*] MARSH GUY P			2. Issuer Name and Ticker or Trading Symbol VIVUS INC [VVUS]		tionship of Reporting Pers all applicable) Director Officer (give title	on(s) to Issuer 10% Owner Other (specify
(Last) 1172 CASTRO	(First) STREET	(Middle)	3. Date of Earliest Transaction (Month/Day/Year) 06/12/2009		below) VP, Operations & Ge	below) eneral Mgr.
(Street) MOUNTAIN VIEW	CA	94040	4. If Amendment, Date of Original Filed (Month/Day/Year)	6. Indiv Line) X	idual or Joint/Group Filing Form filed by One Repo Form filed by More than Person	rting Person
(City)	(State)	(Zip)			r cisui	

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

Table 1 - Non-Derivative Decurities Acquirea, Disposed of, of Denenetary Owned													
1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transa Code (8)		4. Securities Disposed Of			5. Amount of Securities Beneficially Owned Following Reported	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)			
			Code	v	Amount	(A) or (D)	Price	Transaction(s) (Instr. 3 and 4)					
Common Stock	06/12/2009		М		7,657	A	\$3.13	11,358	D				
Common Stock	06/12/2009		S ⁽¹⁾		7,657	D	\$6.0235	3,701 ⁽²⁾	D				
Common Stock	06/12/2009		М		6,771	A	\$3.73	10,472	D				
Common Stock	06/12/2009		S ⁽¹⁾		6,771	D	\$6.0235	3,701 ⁽²⁾	D				
Common Stock	06/12/2009		М		6,564	A	\$4.15	10,265	D				
Common Stock	06/12/2009		S ⁽¹⁾		6,564	D	\$6.0235	3,701 ⁽²⁾	D				
Common Stock	06/12/2009		М		14,008	A	\$4.25	17,709	D				
Common Stock	06/12/2009		S ⁽¹⁾		14,008	D	\$6.0235	3,701 ⁽²⁾	D				
Common Stock	06/15/2009		М		4,158	A	\$4.25	7,859	D				
Common Stock	06/15/2009		S ⁽¹⁾		4,158	D	\$ <mark>6</mark>	3,701	D				

 Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transa Code (8)		of		Expiration Date of Securities (Month/Day/Year) Underlying		8. Price of Derivative Security (Instr. 5)	9. Number of derivative Securities Beneficially Owned Following Reported Transaction(s) (Instr. 4)	10. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	11. Nature of Indirect Beneficial Ownership (Instr. 4)		
				Code	v	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares				
Incentive Stock Option (right to buy)	\$3.13	06/12/2009		М			7,657	(3)	01/30/2016	Common Stock	7,657	\$0	4,376	D	
Incentive Stock Option (right to buy)	\$3.73	06/12/2009		М			6,771	(4)	08/23/2015	Common Stock	6,771	\$0	1,563	D	
Incentive Stock Option (right to buy)	\$4.15	06/12/2009		М			6,564	(5)	01/24/2015	Common Stock	6,564	\$0	0	D	
Non- Qualified Stock Option (right to buy)	\$4.25	06/12/2009		М			14,008	(6)	01/29/2017	Common Stock	14,008	\$0	16,092	D	

	Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)																
1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transa Code (8)		of Deri Sec Acq (A) o Disp of (I	umber vative urities uired or oosed D) (Instr. and 5)	Expiration Date		xpiration Date of Securi Month/Day/Year) Underlyir Derivative		7. Title and Amount of Securities Underlying Derivative Security (Instr. 3 and 4)		8. Price of Derivative Security (Instr. 5)	9. Number of derivative Securities Beneficially Owned Following Reported Transaction(s) (Instr. 4)	10. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	11. Nature of Indirect Beneficial Ownership (Instr. 4)
				Code	v	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares						
Non- Qualified Stock Option (right to buy)	\$4.25	06/15/2009		М			4,158	(6)	01/29/2017	Common Stock	4,158	\$0	11,934	D			

Explanation of Responses:

1. The sales reported on this Form 4 were effected pursuant to a Rule 10b5-1 trading plan adopted by the reporting person on March 12, 2009.

2. The sale price reported in column 4 of Table 1 represents the weighted average sales price of the shares sold ranging from \$6.00 to \$6.06 per share. Upon request by the Commission staff, the Issuer, or a security holder of the Issuer, the Reporting Person will provide full information regarding the number of shares sold at each separate price.

3. Twenty-five percent (25%) of the shares subject to the option became exercisable on 1/30/07 and one forty-eighth of the shares become exercisable monthly thereafter.

4. Twenty-five percent (25%) of the shares subject to the option became exercisable on 8/23/06 and one forty-eighth of the shares become exercisable monthly thereafter.

5. The option vested in full on January 24, 2009.

6. Twenty-five percent (25%) of the shares subject to the option became exercisable on 1/29/08 and one forty-eighth of the shares become exercisable monthly thereafter.

<u>By: Kate Higgins For: Guy</u> Marsh	<u>06/16/2009</u>
<u>Marsh</u>	00/10/200

** Signature of Reporting Person Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

 \ast If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.