FORM 4

## UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

## STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

	OMB APPROVAL								
	OMB Number:	3235-0287							
l	Estimated average burden								
l	houre por roeponeo:	0.5							

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

1. Name and Address of Reporting Person* <u>Shaw Allan</u>						2. Issuer Name <b>and</b> Ticker or Trading Symbol VIVUS INC [ VVUS ]									ationship k all appli Directo	cable)	g Pers	son(s) to Iss 10% Ov			
(Last)	`	rst) (	(Middle)			3. Date of Earliest Transaction (Month/Day/Year) 01/15/2018									Officer below)	Officer (give title pelow)		Other (s below)	specify		
900 E. HAMILTON AVE., SUITE 550						4. If Amendment, Date of Original Filed (Month/Day/Year)									6. Individual or Joint/Group Filing (Check Applicable						
(Street) CAMPBELL CA 95008																Line)  X Form filed by One Reporting Person  Form filed by More than One Reporting  Person					
(City)	(St	ate) (	(Zip)																		
		Tab	le I - Nor	n-Deriv	/ative	Se	curiti	ies Ac	quire	l, Dis	sposed (	of, or Be	enefic	ially	Owned	t					
1. Title of Security (Instr. 3)  2. Transac Date (Month/Date)						Executi			Cod	Transaction   Code (Instr.		4. Securities Acquired (A) Disposed Of (D) (Instr. 3, 4 5)				es ally Following	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)		7. Nature of Indirect Beneficial Ownership		
									Cod	e V	Amount	(A) o	Prio	e	Reporte Transac (Instr. 3	tion(s)			(Instr. 4)		
Common Stock 01/15/						2018		М		1,38	9 A		(1)	56	56,752		D				
Common Stock 01/15/2					5/2018	/2018		D <sup>(2</sup>		480	0 D \$		).51	56,272			D				
		Т	able II - I								osed of converti				Owned						
1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deeme Execution if any (Month/Da	Date, Trans Code		action (Instr.	of E		Expirat	6. Date Exercisa Expiration Date (Month/Day/Year		7. Title and Amount of Securities Underlying Derivative Securi (Instr. 3 and 4)		D S (li	Price of erivative ecurity nstr. 5)	9. Number of derivative Securities Beneficially Owned Following Reported Transaction (Instr. 4)	у	10. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	11. Nature of Indirect Beneficial Ownership (Instr. 4)		
					Code	v	(A)	(D)	Date Exercis		Expiration Date	Title	Amou or Numb of Share	er							
Restricted Stock Units	(1)	01/15/2018			M			1,389	(3)		(3)	Common Stock	1,38	9	\$0	12,501		D			

## **Explanation of Responses:**

- $1. \ Restricted \ stock \ units \ converted \ into \ VIVUS, \ Inc. \ common \ stock \ on \ a \ 1-for-1 \ basis \ upon \ vesting.$
- 2. The reporting person did not sell or otherwise dispose of any of the shares reported on this Form 4 for any reason other than by way of forfeiture to VIVUS, Inc. in order to cover estimated tax liability.
- 3. The restricted stock units vest according to the following schedule: 8/36ths of the total restricted stock units originally granted vested on May 15, 2016, and an additional 1/36th of the total restricted stock units originally granted vest on the 15th of each month thereafter, subject to the individual continuing to be a Service Provider (as defined in the VIVUS, Inc. 2010 Equity Incentive Plan) on the relevant vesting

## Remarks:

/s/ Julie Hollenback, Attorney-01/17/2018 in-Fact

\*\* Signature of Reporting Person Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

- \* If the form is filed by more than one reporting person, see Instruction 4 (b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.